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# KZN PGDS REVIEW STAKEHOLDER ENGAGEMENT FRAMEWORK





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### 1. BACKGROUND AND CONTEXT

- 1.1 The KwaZulu-Natal Provincial Planning Commission is mandated and tasked by the Premier and Provincial Executive Council to attend to the refinement and periodic review of a long term Vision and the Provincial Growth and Development Strategy and Plan (PGDS/P) for the Province, for approval by the Provincial Executive Council.
- 1.2 A The White Paper on the Transformation of the Public Service provides a policy framework for the concept of "People First" as encapsulated in the Batho Pele programme and its ten principles, all of these pointing to effective and efficient stakeholder engagement, namely:
  - 1.2.1 Consultation;
  - 1.2.2 Service standards;
  - 1.2.3 Access;
  - 1.2.4 Courtesy;
  - 1.2.5 Information;
  - 1.2.6 Openness and transparency;
  - 1.2.7 Redress:
  - 1.2.8 Best Value:
  - 1.2.9 Encouraging innovation and rewarding excellence; and
  - 1.2.10 Customer impact.
- 1.3 A Key Guiding Principle of the GDS/P is that it is not only a strategy or plan for Government plan but is a strategy and plan for the Province and must therefore actively create space and provide adequate opportunities for all stakeholders and social partners participate in the formulation and the implementation of this strategy and plan.
- 1.4 It is fully understood that in order to achieve optimal buy in and commitment to collective implementation, all stakeholders must feel that they have had an opportunity to make input, to be heard, to be taken seriously and to be regarded as equals in the drafting and implementation of this strategy and plan.
- 1.5 The terms communication, consultation, and participation are often used interchangeably in stakeholder engagement processes. Although these terms are recognised as representing variable levels of intensity of interaction with stakeholders, for the purpose of this PGDS/P Stakeholder Engagement Framework they are regarded as being mutually inclusive.



- 1.6 It is acknowledged that the KZN Provincial Government and its Non-governmental growth and development partners already have extensive and well established stakeholder engagement structures in place. This Stakeholder Engagement Framework will therefore seek to work within the context of existing structures and will do its utmost to avoid establishing duplicate and potentially conflicting structures for stakeholder engagement.
- 1.7 The institutional framework for the PGDS/P drafting, refinement and implementation processes have thus far been undertaken at three levels:
  - 1.7.1 Firstly, as a network of existing institutions both within government and non-government. This network is depicted in Figure 1 below.

## Provincial Executive Council Executive Council Planning Sub Committee Provincial Infrastructure Coordination Work Group Provincial Planning Committee Provincial Planning Committee Action Work Groups Non-Government consultative forums including Councils: KZN Economic; HIV/AIDS; HRD; Climate Change National Provincial Public Municipalities Organised Organised

### Institutional framework and organisations

Figure 1

1.7.2 Secondly in the form of 18 PGDP Action Work Groups (AWGs), comprised of all interested government and non-government institutions or representative bodies, grouped together around a particular sectoral focus area. The PGDP AWG structure is depicted in Figures 2 and 3 below.



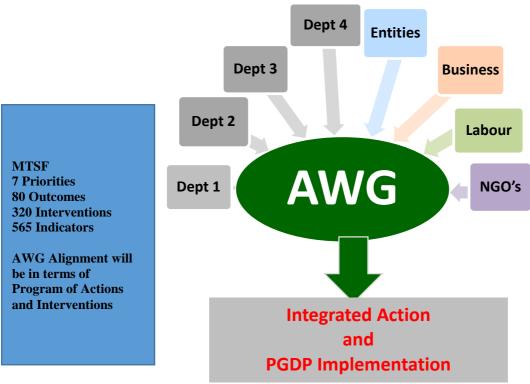


Figure 2

### **REPRESENTATIVITY**

### **AWG**

**Lead Department** 

### Convenor

Deputy Convenor (could also be from another core department

Secretariat

**Allocated Commissioners** 

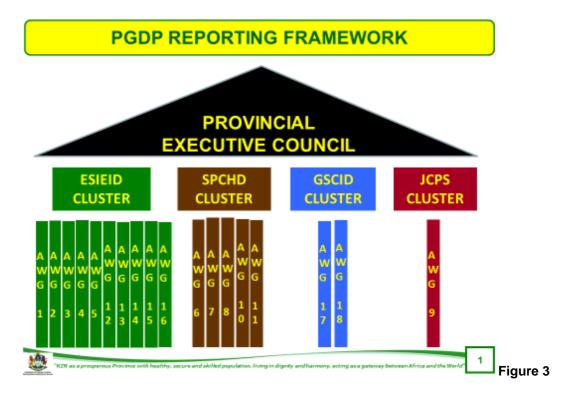
Core Departments and Entities

Business, Labour and Civil Society (where relevant)

Sub Committees/Project Teams



These 18 Action Work Groups, through their Conveners and convening departments, report to the four permanent Cluster Committees of the Provincial Executive Council. Inputs from government, business, labour and civil society formations, therefore, gets processed through this stakeholder engagement structure.



1.7.3 Thirdly through the alignment of plans and institutions responsible for managing these plans at different levels. The PGDP informs and is being informed by District/Metro Growth and Development Plans at District level, which in turn are informed by Municipal Integrated Development Plans, and which in turn are informed by Ward Based Plans, which effectively are informed by the residents of that ward. This process is depicted in Figure 4 below.





Figure 4

- 1.7.4 The ultimate objective of the stakeholder engagement processes of the PGDS/P is to realise KZN Vision, which currently is "to be a prosperous Province, with a healthy, secure and skilled population, living in dignity and harmony, acting as a gateway to Africa and the World.
  - 1.7.5 Whereas the stakeholder engagement imperatives related to the PGDS/P cuts across all seven priorities of the MTSF, there is obviously a need to zoom in on certain key areas of emphasis as enablers, or triggers that will rapidly promote prosperity, health, security, skills, dignity, harmony and strengthen the KZN gateway prospects. Also, the stakeholder engagement will aim to focus on the three pillars of MTSF namely, driving a strong and inclusive economy, building and strengthening the capabilities of South Africans and Achieving a more capable state.
  - 1.7.6 The stakeholder engagement plan will also take advantage of the existing district structures and management frameworks including Operation Sukuma Sakhe (OSS) and the District Development Model (DDM) to achieve integrated and coordinated engagements.
- 1.8 It is for this reason that this PGDS/P Stakeholder Engagement Framework sets out to:
  - 1.8.1 Identify stakeholders
  - 1.8.2 Indicate modes of communication, consultation, and participation to be applied in this stakeholder engagement process;



1.8.3 Outline the timeline for stakeholder engagement based on stages in the PGDS/P review process

### 2. STAKEHOLDER IDENTIFICATION FOR PGDS/P REVIEW

- 2.1. For the purpose of the PGDS/P review, stakeholders are categorised as Government or Non-governmental stakeholders, which in turn again can be cascaded to sub-categories.
- 2.2. Government stakeholders can be categorised as organs of state or public entities and agencies, based on the sphere of government such stakeholders are focussed on, namely:
  - 2.2.1. International Relations;
  - 2.2.2. National Relations (National Planning Commission);
  - 2.2.3. Inter Provincial Relations;
  - 2.2.4. Intra Provincial Relations:
  - 2.2.5. Metro/District Municipal Relations; and
  - 2.2.6. Local Municipal Relations.
- 2.3. Non-government stakeholders can also be subdivided into a range of subcategories, based on specific interest or focus they have. A general practice has been established in terms of which this group of stakeholders are classified as representatives of:
  - 2.3.1. Business chambers, councils and structures representing sectoral interests;
  - 2.3.2. Labour federations and sector specific worker's unions;
  - 2.3.3. Civil society structures representing a variety of societal interests, local community interests; and
  - 2.3.4. Institutions of Traditional Leadership
- 2.4. Using existing Programmes and Engagement Structures of Government as avenue for engaging broader community participation:
  - 2.4.1. Operation Sukuma Sakhe through District Development Model;
  - 2.4.2. The Provincial Council on HIV/AIDS
  - 2.4.3. The Provincial Economic Council
  - 2.4.4. The Provincial Climate Change Council
  - 2.4.5. The Provincial Human Resource Development Council
  - 2.4.6. The Provincial Council Against Crime
  - 2.4.7. The Provincial Social Cohesion and Moral Regeneration Council
- 2.5. The community structures to be considered for inclusion in a Community Stakeholder Engagement process could include:



- 2.5.1. Local Ward Structures:
- 2.5.2. OSS War Room Structures;
- 2.5.3. Community Policing Fora;
- 2.5.4. Institutions of Traditional Leadership;
- 2.5.5. Community development trusts;
- 2.5.6. Land claims beneficiary trusts;
- 2.5.7. Communal property associations;
- 2.5.8. Local farmer's associations;
- 2.5.9. Local business associations;
- 2.5.10. Local transport and taxi associations;
- 2.5.11. Local groupings representing farm dwellers;
- 2.5.12. Local groupings representing farm labourers;
- 2.5.13. Local groupings representing the interest of women;
- 2.5.14. Local groupings representing the interest of children;
- 2.5.15. Local groupings representing the interest of youth;
- 2.5.16. Local groupings representing the interest of the elderly;
- 2.5.17. Local groupings representing the interest of people living with disability;
- 2.5.18. Local groupings representing the interest of traditional healers;
- 2.5.19. Local groupings representing the interest of informal traders;
- 2.5.20. School governing bodies;
- 2.5.21. Local religious leaders; and
- 2.5.22. Whatever other structures may exist in a particular precinct which could enhance the mobilisation and participation of community stakeholders.
- 2.6. A more detailed breakdown of specific grouping of the broad stakeholder categories listed above is included as Annexure A

## 3. MODES OF COMMUNICATION, CONSULTATION, AND PARTICIPATION TO BE APPLIED

The following modes of engagement should be considered and decided on, based on the appropriateness of such mode in relation to the various stakeholder groupings;

- One on one engagements with stakeholder leadership structures (virtual or face to face);
- 3.2. Webinar engagements with broader stakeholder groupings
- 3.3. Web based engagements based on posting of draft documents presented for comment and input on the PPC Website;
- 3.4. Media advertisements calling for comments and inputs on published documents;
- 3.5. Social Media advertisement calling for engagements and inputs.



### 4. STAKEHOLDER ENGAGEMENT PROGRAMME

PGDS Review Project Plan Stage	Stakeholder to be engaged	Mode of Engagement	Deliverable
Develop a stakeholder engagement plan for the PGDS Review (May 2020)	PPC Secretariat	Working Sessions	Draft Stakeholder Engagement Plan
Adoption of the Stakeholder engagement plan (May 2020)	PPC	Virtual Meeting	Approved Stakeholder Engagement Plan
Communicate Stakeholder engagement plan (June 2020 (Revised	All Stakeholders	Website	Awareness
Circulate the reviewed Situational Analysis for comment and input to PGDS Stakeholders (June 2020)	All Stakeholders	Website, Webinars and Social Media	Inputs and Comments
Engage electronically with key stakeholders for inputs on First Draft Reviewed PGDS (July 2020)	All Stakeholders	Webinars	Inputs and Comments
Engage electronically with key stakeholders for inputs on First Draft Reviewed PGDS (July 2020)	Engage with Technical Clusters on the First Draft Reviewed PGDS	Meetings	Inputs and Comments
Present to Technical Lekgotla and PEC Lekgotla (August 2020)	Technical Lekgotla and PEC Lekgotla	Meetings	Inputs and Comments
Session to reflect on inputs from the Lekgotla and discussions for final tweaking and revisions (Sept 2020)	All Stakeholders	Webinars	Final Inputs and Comments
Obtain Executive Council approval for reviewed PGDS	Provincial Executive Council	Meeting	Approval and Adoption
Publishing of the PGDS	All Stakeholders	Website, Media	Awareness



### . LIST OF SOME OF THE MOST RELEVANT OTP STAKEHOLDERS

5. LIST OF SOME OF THE MOST RE	ELEVANT OTP STAKEHOLDERS
STAKEHOLDER	SPECIFIC STRUCTURES
His Majesty the King	Royal Household
KZN Provincial Executive Council	Royal Household Trust  Frequenting Council  Trust
RZN Provincial Executive Council	<ul><li>Executive Council</li><li>Clusters</li></ul>
	Clusters     Sub-Committees
KZN Legislature	Speakers Office
NZIV Legislature	Portfolio Committees
	Chair of Chairs Committee
Parliament	NCOP
Chapter 9 Institutions	Public Protector
	South African Human Rights Commission
	(SAHRC)
	Commission for the Promotion and
	Protection of the Rights of Cultural, Religious
	and Linguistic Communities (CRL Rights
	Commission)
	<ul><li>Commission for Gender Equality (CGE)</li><li>Auditor-General</li></ul>
	Independent Electoral Commission (IEC)
	• Independent Authority to Regulate
	Broadcasting.[1]
	<ul> <li>Independent Communications Authority of</li> </ul>
	South Africa (ICASA)
International Institutions	DIRCO Ambassadors Forum
	KZC Consular Corps
N. d. 10	Committees managing international relations
National Government	Presidential Coordinating Council     National Report and their manifestal
	All National Departments and their provincial coordinating structures
	Higher Education Institutions & and TVETs
Provincial Government	Provincial Executive Council
	Premier's Coordinating Forum
	All provincial Department and Public Entities
	Provincial Planning Commission
	All Provincial level Stakeholder Engagement
	Councils
Municipalities	Districts/Metro Municipalities
	<ul><li>Local Municipalities</li><li>Ward Committees</li></ul>
	Ward Committees     District Coordinating Forums
	SALGA
	MUNIMEC
	District DGDP/IDP Forums
Institutions of Traditional Leadership	Provincial House of Traditional leaders
·	Local Houses of Traditional Leaders
	Traditional Councils
Organised Labour	Federations of Trade Unions



STAKEHOLDER	SPECIFIC STRUCTURES
	COSATU (21 affiliates)
	> FEDUSA (23 affiliates)
	> NACTU (21 affiliates)
Business Sector	KwaZulu-Natal Business Chambers Council
	KZN Youth Business Council
	KZN Growth Coalition
	Black Business Forum
	Progressive Professionals Forum
	Organised Cooperatives
	Organised Informal Traders.
	<ul> <li>Progressive Youth Business Forum (PYB)</li> </ul>
Structures Representing Civil Society	• SANCO
	Disability Sector Forum
	Women Sector Forum
	Children Sector Forum
	Elderly Sector Forum
	Men's Forum
	Youth and Student Formations
	• SANTACO
	Taxi Alliance
	<ul> <li>Form representing the interests of Lesbian, Gay, Transsexual and Intersex groups (LGBTI)</li> </ul>
	Traditional Healers
	SANGOCO
	Military Veterans
	Farm Workers
	Koisan,
	Minorities Forum
	Amadela Ngokubona
	Mazibuye Africa
	Abahlali Basemjondolo
	AfriForum
	• ATKV
	Private Education institutions
	SETA's
	<ul> <li>Representatives of foreign nationals</li> </ul>



### ANNEXURE A: STAKEHOLDERS DATABASE FOR PGDS REVIEW 2020

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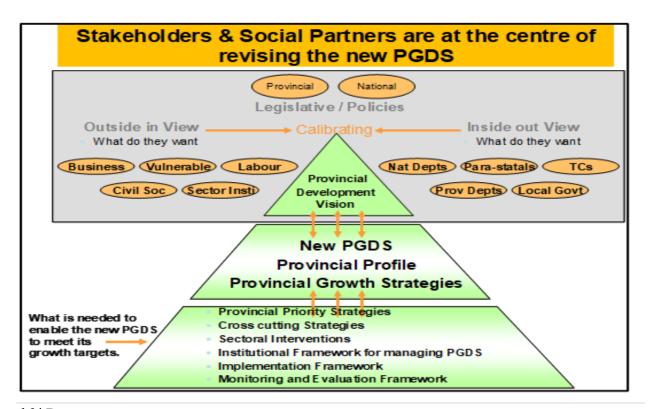
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