Climate change adaptation and poverty reduction co-benefits: Human capabilities towards green micro-enterprise

KZN Research Dissemination Conference 30 August 2017 *"Implementing PGDS through use of Evidence"* 



planning, monitoring & evaluation Department: Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFRICA







### **Research team**

NRF/DST SARChI in Applied Poverty Reduction Assessment, University of KwaZulu-Natal

> Sarah Bracking (Principal Investigator) Okem Andrew (Senior Researcher) Mvuselelo Ncgoya (Co-Investigator) Kathleen Diga (Co-Investigator) Stephen Olivier (Co-ordinator) Phindile Ngubane (Research Assistant) Siyabonga Ntombela (Research Assistant) Ntando Ninela (Research Assistant) Mandy Lombo (Research Assistant) Mandy Lombo (Research Assistant) Nokubonga Shezi (Research Assistant) Ayanda Tshabalala (Research Assistant) Bahle Mazeka (Research Assistant)







### **Overview of the research**

- Climate change presents a real existential threat to humanity (UN Habitat, 2011; Fay et al., 2015)
- Around the world, local municipalities are working towards the implementation of climate change related programmes to reduce the impact of climate change disasters (Bakera, 2012; Carmin, Anguelovski, & Roberts, 2012)
- eThekwini is a leading municipality in the design and implementation of climate change related projects
- Its approach is underpinned by Community Ecosystem-Based Adaptation (Laros et al., 2013)
- More importantly the municipality takes a pragmatic approach to climate change (Roberts et al., 2016)



evaluation







## Methodology

- Underpinned by a qualitative approach, this work builds on the document analysis of 104 climate change-related projects in the Municipality
- It assesses the poverty reduction co-benefits or the lack thereof within climate change related projects in eThekwini Municipality
- Document analysis of climate change related projects in eThekwini Municipality
- □ Interview with project participants
  - Individual interviews
  - Focus Group Discussions (FDGs)
- □ Key informant interviews
- Participants were selected purposively
- All interviews were audio-recorded, transcribed and analysed thematically using Nvivo









	Project	No. of Interviews	No. of	No. of	CARBONN
		with Municipal	individual	FGDs	REGISTRY
		Officials/project	Interviews		CLASSIFICATION
		implementers			
1	Paradise Valley Reforestation	1	9	0	Mitigation action
					with secondary
					focus on adaptation
2	Grey Water Reuse in Community Gardens	1*	0	0	Adaptation action
3	Working on fire	1	0	2	Adaptation action
4	Durban Green Corridor	2	2	5	Adaptation action
5	Newlands Mashu Dewats - Evaluation for Waste Water	2	1	0	Adaptation action
	Treatment and Reuse for Urban Horticulture				
6	KwaDabeka Hostel Hot water Project, Wonderbag,	1	0	0	Mitigation action
	residential energy efficiency project				
7	Invasive Alien Plant (IAP) Control Programme	1	0	0	Adaptation action
8	Mhlangane Catchment Rehabilitation	1	0	1	Adaptation action
9	Green Street Retrofit (Residential Energy Efficiency	1	2	0	Mitigation action
	Programme)				
10	Sihlanzimvelo Stream Cleaning	1	0	9	Adaptation action
11	Inkululeko Garden	0	0	1	Adaptation action
12	Ubumbulu Hub	1	0	1	Adaptation action
13	Sibukeni Project: Hammersdale	0	0	1	Adaptation action
14	Scorpio Place	1	0	1	Adaptation action
15	Sphikeleni	0	0	1	Adaptation action
	Total	14	14	22	50



planning, monitoring & evaluation



Department: Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFRICA





**PSPPD** PROGRAMME TO SUPPORT PRO-POOR POLICY DEVELOPMENT



## Key research findings cont.





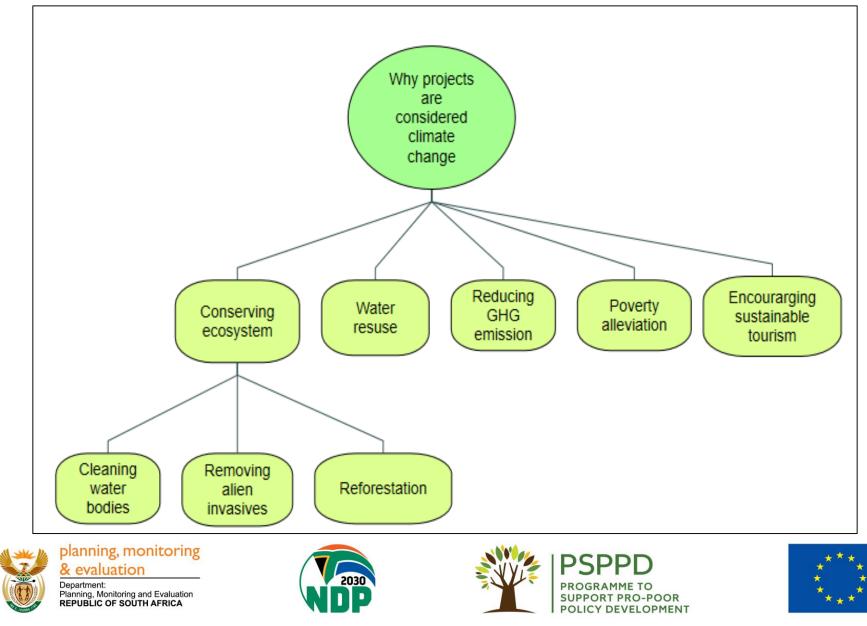
Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFRICA

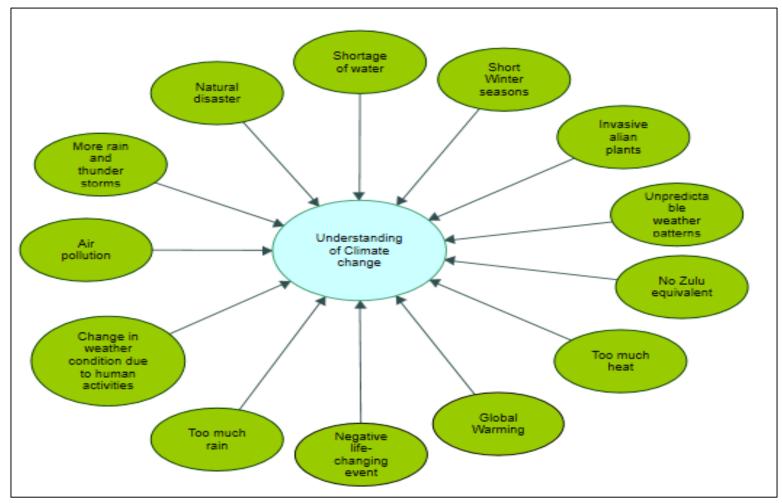




\*

## Key research findings cont.













	Durban Green	Paradise Vallev	Green Street Retrofit	Working on Fire	Umhlangane catchment	Newlands Mashu	Sibukeni	Mbumbulu	Inkululeko	Sphikeleni	Sihlanzimvelo
Income/ financial wealth for the poor communities											
Improvements and access to jobs/ entrepreneurship opportunities											
Improvement and access to utilities or public services											
Improvements and access to education/skills/training											
Improvements and access to health											
Improvements and access to assets											
Improvements and access to natural capital											
Improvements and access to social capital											
Other											









	Project	Adaption/Mitigation	Match/Mi smatch	Poverty reduction potential	Poverty reduction potential
1	Residential Energy Efficiency Programme	Mitigation	-M	N	M
2	Newlands Mashu Dewats - Evaluation for Waste Water				
	Treatment and Reuse for Urban Horticulture	Adaptation	MM	М	L
3	Sihlanzimvelo Stream Cleaning Programme	Adaptation	MM	L	М
4	Paradise Valley Reforestation Project	Mitigation with secondary focus on adaptation	MM	м	М
5	The Umhlangane Catchment Rehabilitation Programe	Adaptation	MM	N	L
6	Durban Green Corridor	Adaptation	+M	L	М
7	Invasive Alien Plant (IAP) Control Programme	Adaptation	+M	М	N/A
8	Mariannhill Landfill Conservancy	Adaptation with secondary focus on mitigation	-N	N	N/A
9	Working on Fire	Adaptation	MM	L	М
10	Scorpio Place in Mariannridge	Adaptation	MM	N	L
11	Ubumbulu Agri-Hub	Adaptation	+M	Н	L
12	Inkululeko Garden	Adaptation	N/A	N/A	L
13	Sibukeni Project: Hammersdale	Adaptation	N/A	N/A	L
14	Sphikeleni	Adaptation	N/A	N/A	L



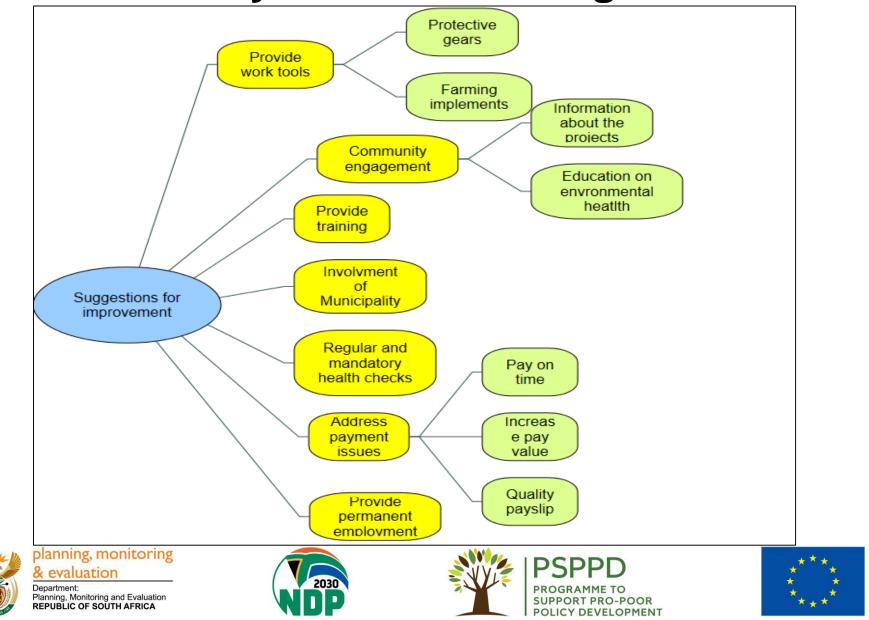
planning, monitoring & evaluation Department: Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFRICA





**PROGRAMME TO** SUPPORT PRO-POOR POLICY DEVELOPMENT





#### **Climate Change projects in eThekwini Municipality**

Primary Category		Number of project (n=104)	%
Climate mitigation	change	44	42.3
Climate adaptation	change	60	57.7
		104	100.0%



Planning, monitoring & evaluation Department: Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFRICA







### eThekwini climate change response themes

CLIMATE CHANGE THEME	Climate mitigation	Climate adaptation	All Projects	
1. Water	4	10	14 (13.46%)	
2. Sea level rise	0	2	2 (1.92%)	
3. Biodiversity	3	12	15 (14.42%)	
4. Food security	0	8	8 (7.69%)	
5. Health	0	0	0 (0.00%)	
6. Energy	20	2	22 (21.15%)	
7. Waste and pollution	4	4	8 (7.69%)	
8. Transport	4	0	4 (3.85%)	
9. Economic development	2	4	6 (5.77%)	
10. Knowledge generation and understanding	7	18	25 (24.04%)	
Total	44	60	104 (100%)	
planning, monitoring   & evaluation   Department:   Planning, Monitoring and Evaluation   REPUBLIC OF SOUTH AFRICA		PSPPD PROGRAMME TO SUPPORT PRO-PO POLICY DEVELOPM		



### **Asset Ranking of Poverty Reduction elements**

POVERTY REDUCTION ASSET	# of mentions in project
1. Job and work opportunities	32
1. Education / skills development	28
1. Income / Wealth	19
1. Health	15
1. Utilities and Public services	15
1. Natural capital	14
1. Other	14
1. Assets	7
1. Social capital	6



Planning, monitoring & evaluation Department: Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFRICA









## **Relevance to KZN (PGDP)**

- KZN PGDP Strategic Goal #5: Environmental Sustainability
  - Strategic Objective 5.3: Adapt and respond to climate change
- KZN PGDP Strategic Goal #1: Inclusive Economic Growth (cross cutting)
  - Indicator: Growth in the number of jobs of those employed in the green economy (TBD)
- KZN PGDP Strategic Goal #3: Human and Community Development (cross cutting)
  - Indicator: 0% of household below the lower bound poverty line
- National level: The Disaster Management Amendment Act (2015)
  - local municipalities to combat risks through climate change adaptation (KZN: Plans and Disaster management centres)
- International level: Paris Agreement: nationally determined contributions (NDC)
  - integration of sub-national policy frameworks which enable climate change adaptation programmes, sub-national policy development and budget re-prioritisation for institutional capability, and adaptation planning at sub-national level
- eThekwini : Durban Climate Change Strategy (DCCS)
  - DCCS list of climate action, food security for the marginalised is of top priority, followed by the identification (profiling) of communities and sub-populations most affected by the impacts of climate change.



evaluation







# **Conclusions & Policy implications**

- Case of eThekwini Municipality
  - Ready with locally appropriate projects once INDC comes into effect (influence National Adaptation Plans)
  - Even balance of climate change projects
    - Dominated by knowledge generation, biodiversity and energy. None in health
    - Some projects acknowledgement of poverty alleviation as a co-benefit (under 20%),
    - Space for mitigation to include poverty reduction co-benefits



blanning, monitoring & evaluation Pepartment: Planning, Monitoring and Evaluation & EPUBLIC OF SOUTH AFRICA







# **Conclusions & Policy implications**

- The portfolio starting point
  - First step: Collaboration
    - Across municipalities, research partnerships with academia
  - Assist other municipalities
    - coordinating climate change projects and articulating poverty reduction cobenefits
    - Municipalities can play oversight role in projects contracted to other organisations



Planning, monitoring & evaluation Department: Planning, Monitoring and Evaluation REPUBLIC OF SOUTH AFPICA







## Recommendations

- Further development of the CEBA approach
  - There is a need to move beyond a project-based approach to institutionalising climate changes to give permanence to the employment of people
  - Further understanding the local participation aspects within climate change project (ie indigenous knowledge)









# **Conclusions & Policy implications**

- Main conclusions of the study
  - Climate change projects extremely good at reducing poverty and creating jobs
- Policy relevance of the findings
  - Green economy not just an academic idea, but could be mainstreamed in NDP & PGDP
- Benefits and opportunities for policy thinking, planning and interventions
  - Global climate finance is available



Planning, monitoring evaluation Pepartment: Ianning, Monitoring and Evaluation FEPURI CG SOLITI AFRICA







# Recommendations

- Proposed necessary actions for taking recommendations forward to effect change
  - Use our protocol for choosing climate change projects with poverty reduction cobenefits across South Africa
- Where possible, specify what is in need of urgent attention and what is longer term
  - Urgent: Climate adaptation as protection from disaster using public funding
  - Longer term: Transfer to low-carbon economy and job creation using hybrid funding



planning, monitoring & evaluation Department: Planning, Monitoring and Evaluation







## Acknowledgements

SIYABONGA! All our participants

\* National Research Foundation (DST/NRF) of South Africa

\* eThekwini Municipality for the provision of project documentations and for support in accessing project sites